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I. **Introduction**

Maternal nutrition refers to the nutritional needs of women during the antenatal and postnatal period (i.e., when they are pregnant and breastfeeding) and also may refer to the pre-conceptual period (i.e., adolescence). Maternal under-nutrition affects the health of both mothers and children and, as a result, has broad impacts on economic and social development. Undernourished pregnant women have higher reproductive risks, including death during or following child birth. Many women suffer from a combination of chronic energy deficiency, poor weight gain in pregnancy, anemia, and other micronutrient deficiencies, as well as infections like HIV and malaria. These along with inadequate obstetric care, contribute to high rates of maternal mortality and poor birth outcomes. Under-nutrition in pregnant women is directly linked to intrauterine growth retardation (IUGR), which results in low birth weight, pre-maturity, and low nutrient stores in infants. Maternal under-nutrition also diminishes a woman’s productivity, causing repercussions for herself, her family, her community, and the broader society.

It is well known that the effects of micronutrient deficiencies during pregnancy have severe consequences. Anemia (primarily due to poor iron status) is a major marker of maternal malnutrition, inasmuch as any level of anemia increases the risk for maternal mortality and morbidity and also diminishes women’s productivity. During pregnancy, iodine deficiency disorders (which result from a lack of sufficient iodine in the diet) can result in serious and irreversible effects on child brain development and mental capacity, in the form of cretinism, and can lead to miscarriage, stillbirths, and early neonatal deaths. Maternal nutrition has a life-cycle (or intergenerational) element as well. Lightweight, short-stature women give birth to small, low birth weight babies who become short, lightweight girls and repeat the cycle of intergenerational under-nutrition. Where and how to most effectively and efficiently break the intergenerational under-nutrition cycle remains unclear.

Although projects focused on maternal health are common, projects focused specifically on maternal nutrition are rare. Research, program reports, and other materials specifically related to maternal nutrition principles, practices, and programs are not abundant either. This lack of attention to maternal nutrition may in part reflect a focus on mortality reduction rather than on growth and development. The intergenerational (and thus more complicated) aspects of maternal nutrition may also have contributed to the lack of attention. Despite a clear consensus on the parameters of maternal nutrition and how best to intervene, the importance of maternal nutrition and the key role of maternal nutrition behaviors are widely known. Although they are not as well documented as the guiding principles for infant and young child nutrition, optimal maternal nutrition behaviors and actions have been outlined.¹

This guidance document is intended to help further an awareness and understanding of optimal maternal nutrition practices and, more importantly, support people who are working to translate optimal practices into feasible actions and programs for a given country or region. Maternal nutrition practices vary dramatically by culture, geography, social, economic, and other family and community needs.

factors. To develop effective behavior-change strategies and programs, it is essential to know local practices and how they impact maternal nutrition, and understand the motivations or barriers to more pro-nutrition practices (which are closer to optimal practices). Engaging in a formative research process, regardless of how extensive, will yield important insights that are necessary for program planning. This guidance presents the different elements involved in creating and implementing formative research, including: understanding the general maternal nutrition context by using all readily available data and information, choosing the best research methods and participants, and developing research guides and tools. It is not a “how to” manual for planning and conducting formative research (see Appendix A for documents to assist with sample selection, planning, implementation and analysis of formative research) but is instead guidance on the particular concerns related to formative research that focuses on the specific details of maternal nutrition (see Appendix B for background maternal nutrition resources).

II.  **Understanding the General Context**

**Conceptual Framework for Maternal Nutrition**

The general conceptual framework for maternal nutrition (or maternal under-nutrition) is similar to the UNICEF conceptual framework for child under-nutrition (see below). The immediate causes of maternal under-nutrition include inadequate dietary intake and disease. The primary underlying causes are household food insecurity, inadequate care and unhealthy household environment, and use (or lack) of health services.

![Conceptual Framework for Maternal and Child Under-nutrition](source)


Expanding and refining this broad conceptual framework to also include what is needed to promote adequate maternal nutrition provides a more detailed picture of some of the most critical actions/behaviors affecting maternal nutrition.
A Framework for Promoting Maternal Nutrition

Optimal Maternal Nutrition Practices

This above framework translates into essential “maternal” actions that are protective of maternal nutrition, as summarized in the table below. This list of recommended actions and optimal practices reflects the benchmarks against which programs can be developed and monitored. They are useful but still insufficient for developing a program in a given context that will effectively promote and support those actions and recommendations. Formative research is needed to identify:

- The feasible practices that will have the greatest positive impact on maternal nutrition;
- Acceptable alternatives for resolving problems and issues that prevent pregnant and lactating women from implementing actions and practicing new behaviors;
- Major constraints to achieving more pro-nutrition practices; and
- The strongest motivating factors and influences to encourage “better” practices.
### Optimal Practices for Maternal Nutrition in Pregnancy and while Breastfeeding

<table>
<thead>
<tr>
<th>Category</th>
<th>Practice Description</th>
</tr>
</thead>
</table>
| **Dietary quantity**                  | Eat at least one extra serving of staple food (285kcal) a day while pregnant and the equivalent of an extra meal (500 extra kcal) while breastfeeding.  
   Consume enough extra calories to gain at least one kilogram per month in the second and third trimesters of pregnancy. |
| **Dietary quality**                   | Increase daily consumption of fruits, vegetables, animal products, and fortified foods.                                                                                                                            |
|                                       | Use iodized salt.                                                                                                                                                                                                      |
|                                       | Decrease consumption of iron-inhibiting foods, such as tea, with meals.                                                                                                                                               |
| **Micronutrient intake**              | Take daily supplements of 60 mg iron and 400 micrograms folic acid or multiple vitamin/mineral supplements during pregnancy and during the first 3 months after delivery.  
   If pregnant and anemic, take 120 mg of iron and at least 400 micrograms of folic acid per day for three months and then continue taking a daily dose of 60 mg iron for next 3 months of pregnancy and the first 3 months after delivery.  
   In areas where vitamin A deficiency is a severe public health problem, supplement with vitamin A 10 000 IU vitamin A (daily dose) or with up to 25 000 IU vitamin A (weekly dose) during pregnancy. This should not be part of all routine antenatal care.  
   Postpartum vitamin A supplementation is not recommended. |
| **Disease prevention and treatment (high priority for malaria and worms)** | Seek immediate treatment for diet-related symptoms like nausea, vomiting, diarrhea, fever, loss of appetite, sores in mouth, constipation, heartburn and bloating. Diagnosis and treatment of malaria through antenatal care is a high priority.  
   In the second and third trimesters, take at least two doses of intermittent prevention treatment (one dose is three tablets of SP) for malaria. Doses should be at least one month apart.  
   In areas with high HIV prevalence, give a third dose during the last antenatal care visit (taking national policies into account).  
   Sleep under an insecticide-treated bed net and use insecticide-treated materials.  
   Take a single dose of albendazole or mebendazole in the second trimester of pregnancy to prevent hookworm. In highly endemic areas, take an additional dose on the third trimester.  
   Wash hands with soap before eating and only drink treated water. |
| **Supportive lifestyle and care**     | Initiate breastfeeding in the first hour after birth and exclusively breastfeed for the first six months.                                                                                                             |
|                                       | Use family planning to delay the next pregnancy for at least 3 years after giving birth.                                                                                                                            |
|                                       | Use contraceptives that are supportive of breastfeeding.                                                                                                                                                              |
|                                       | Rest more during pregnancy and lactation.                                                                                                                                                                              |
Although research on maternal nutrition remains relatively limited, a general understanding of some of the major issues implicit in the conceptual framework and underlying the essential actions can serve as a guide to the broad areas of inquiry when developing formative research (see checklist below).

<table>
<thead>
<tr>
<th>Potential Issues</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Food availability or access</strong></td>
</tr>
<tr>
<td>Lack of access to sufficient food due to poverty, intra-household dynamics, etc.</td>
</tr>
<tr>
<td>Lack of availability of sufficient and high quality food, especially animal source foods due to poverty, as well as market, environmental, and geographic issues.</td>
</tr>
<tr>
<td>Lack of availability of micronutrient-rich foods.</td>
</tr>
<tr>
<td>Lack of access to iodized salt.</td>
</tr>
<tr>
<td>Lack of access to fortified foods and products.</td>
</tr>
<tr>
<td><strong>Awareness and knowledge of special needs during pregnancy</strong></td>
</tr>
<tr>
<td>Perceptions of healthy pregnancy and management for an easy, safe birth and a healthy newborn.</td>
</tr>
<tr>
<td>Lack of awareness of the need to increase caloric intake during pregnancy.</td>
</tr>
<tr>
<td>Lack of awareness of the need to increase the intake of high quality, micronutrient-rich foods.</td>
</tr>
<tr>
<td>Lack of availability and access to supplements.</td>
</tr>
<tr>
<td><strong>Unwillingness to change work patterns due to social and family reasons.</strong></td>
</tr>
<tr>
<td>Health professionals or volunteer support for monitoring and guidance during pregnancy</td>
</tr>
<tr>
<td>Lack of awareness of the need to monitor pregnancy weight gain or look for overt signs of anemia.</td>
</tr>
<tr>
<td>Inability to easily access health professional or volunteer support for basic antenatal care and support.</td>
</tr>
<tr>
<td>Lack of attention on the part of health service staff and volunteers to the dietary needs of pregnant women due to lack of time, awareness or knowledge.</td>
</tr>
<tr>
<td><strong>Availability and access to micronutrient supplements</strong></td>
</tr>
<tr>
<td>Lack of supplies and supply chain issues in the community, especially regarding the resupply of iron tablets and other basic commodities.</td>
</tr>
<tr>
<td>Lack of understanding by health professionals of adherence issues linked to successful use of supplements.</td>
</tr>
<tr>
<td><strong>Family and local support for meeting extra food and supplement needs during pregnancy</strong></td>
</tr>
<tr>
<td>Lack of access to or use of formal and informal (volunteer-provided) health services.</td>
</tr>
<tr>
<td>Family and community perceptions and practices related to pregnancy care and support.</td>
</tr>
<tr>
<td>Lack of support within the family for extra food needs during pregnancy due to household dynamics.</td>
</tr>
<tr>
<td>Gender imbalances, expectations for work are unchanged.</td>
</tr>
<tr>
<td>Lack of awareness and understanding of pregnancy and lactation requirements.</td>
</tr>
<tr>
<td><strong>Food preferences and beliefs</strong></td>
</tr>
<tr>
<td>Individual food preferences that run counter to recommendations, for example, to consume more fruits or vegetables or of a fortified food.</td>
</tr>
<tr>
<td>Physical issues (nausea, other discomfort) that may limit for example, regular consumption of iron and folic acid supplements.</td>
</tr>
<tr>
<td>Individual, family or community food beliefs associated with pregnancy and lactation, such as the desire to NOT gain too much weight during pregnancy for fear of having a large baby, that run counter to recommended practices.</td>
</tr>
</tbody>
</table>
III. Knowing the Country, Region or Project Context

The first step in conducting research on maternal nutrition is to understand the country and program context; that is, what is already known and documented about maternal nutrition in the country where the formative research will be undertaken. Multiple sources of country-level information are available that might contribute to a maternal nutrition profile (see below). The primary indicators of maternal nutrition include: body mass index (BMI), female adult height and weight, weight gain during pregnancy, iron and vitamin A status, measures of dietary diversity, and other micronutrient consumption proxy measures. Information on the use of health services, especially prenatal and antenatal care visits, can provide important tracking measures for maternal nutrition (see Appendix B for definitions of the key maternal nutrition indicators).

<table>
<thead>
<tr>
<th>Nutrition Literature Review Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>Source of Information—Where to Access</td>
</tr>
<tr>
<td>Demographic Health Surveys</td>
</tr>
<tr>
<td><a href="http://www.measuredhs.com">www.measuredhs.com</a></td>
</tr>
<tr>
<td></td>
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<td></td>
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<tr>
<td></td>
</tr>
<tr>
<td>WHO data base on vitamin and mineral deficiencies</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>USAID KPCS</td>
</tr>
<tr>
<td><a href="http://www.mchipngo.net/controls/link.cfc?method=tools_kpc_modules">www.mchipngo.net/controls/link.cfc?method=tools_kpc_modules</a></td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>UNICEF Multiple Indicator Cluster Surveys (MICS)</td>
</tr>
<tr>
<td><a href="http://www.childinfo.org/mics.html">http://www.childinfo.org/mics.html</a></td>
</tr>
</tbody>
</table>
Also check the following resources for more quantitative survey data and qualitative information:

- Ministries of health/health management information systems—many countries now have websites

- Relevant journal articles and project documents
  - Multidisciplinary journals—www.ingentaconnect.com
  - International Information Support Center—www.asksource.info/databases.html
  - All USAID-funded project reports and documents—http://dec.usaid.gov

A literature review will help identify the gaps that formative research will attempt to fill. To guide formative research, much can be revealed about the determinants of maternal under-nutrition with quantitative information alone (see the example in Box 1). The richer the sources available for existing background information, the better formative research questions can be refined.

Assembling the information into a format that facilitates identification of knowledge gaps in order to define research questions is particularly useful. A practical tool for this purpose, The Maternal Nutrition Optimal Practices Matrix, can be found in Annex C. This matrix lists the optimal practices (see Table 1) and contrasts them with what is known about current practices in a particular program setting including information on motivations and barriers and specific gaps in information. This matrix should be used throughout the research process, first to organize existing information, and later, to add highlights from the research itself.
Box 1: How Quantitative Data Can Help Guide Formative Research

Using several quantitative data sources, the following profiles were assembled:

<table>
<thead>
<tr>
<th>Category and Status</th>
<th>Uganda</th>
<th>Nepal</th>
<th>Honduras</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Nutrition Status (%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Under 145 cm</td>
<td>1.9</td>
<td>10.4</td>
<td>9.8</td>
</tr>
<tr>
<td>Thin: BMI &lt; 18.5</td>
<td>12.1</td>
<td>24.4</td>
<td>4.0</td>
</tr>
<tr>
<td>Overweight or Obese: BMI &gt; 25.0</td>
<td>16.5</td>
<td>8.5</td>
<td>46.6</td>
</tr>
<tr>
<td>Any Anemia</td>
<td>41.9</td>
<td>36.2</td>
<td>26.7</td>
</tr>
<tr>
<td>Moderate &amp; severe anemia</td>
<td>11.3</td>
<td>6.7</td>
<td>4.1</td>
</tr>
<tr>
<td><strong>Nutrition-related Health Care Practices (%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pregnant women consuming any iron syrup or tablets</td>
<td>63.1</td>
<td>59.3</td>
<td>80.6</td>
</tr>
<tr>
<td>Pregnant women consuming iron syrup or tablets for 90+ days</td>
<td>0.7</td>
<td>28.8</td>
<td>70.2</td>
</tr>
<tr>
<td>Women taking deworming medicine during pregnancy</td>
<td>26.8</td>
<td>20.3</td>
<td>6.9</td>
</tr>
<tr>
<td>Any antenatal care</td>
<td>94</td>
<td>44</td>
<td>92</td>
</tr>
<tr>
<td>First antenatal care visit (mean number of months of pregnancy)</td>
<td>5.5</td>
<td>4.6</td>
<td>2.9</td>
</tr>
<tr>
<td><strong>Nutrition-related Practices (%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diet Diversity—mean groups/day (0-9)</td>
<td>2.9</td>
<td>3.4</td>
<td>4.2</td>
</tr>
<tr>
<td>Consumed dairy in previous 24 hours</td>
<td>23.8</td>
<td>43.4</td>
<td>64.3</td>
</tr>
<tr>
<td>Consumed vitamin A-rich fruit or vegetable in previous 24 hours</td>
<td>19.6</td>
<td>19.8</td>
<td>32.7</td>
</tr>
</tbody>
</table>

In general, the information presented in the table above demonstrates the poor state of maternal nutrition, and a varied pattern of antenatal care and use of pro-nutrition services. The practice of pro-nutrition behaviors varies, but diet quality is poor everywhere. While many national level indicators may look similar on the surface, the reasons behind practices will vary by country. Also, while regional differences may be masked by national level data in some countries, it is nevertheless useful to have a national picture of maternal nutrition as a starting point. Developing a national profile focuses the research on high-priority maternal nutrition issues. For example, based on the information above, the following country-specific priorities emerge:
In **Uganda**, dietary improvement should focus on improving poor diet diversity and the assumption that as diversity improves food quantity will improve as well. Also, very high priority should be placed on how to improve adherence to iron supplements. Some general research issues that might be addressed include:

- Disparities between foods available to the family and those eaten by the pregnant woman
- Perceptions about diet during pregnancy on the part of the woman and family members, particularly related to consumption of “nutrient-dense” foods
- Ability and willingness among household members to place priority on accessing quality foods for the pregnant woman
- Availability of iron supplements and the potential to access iron supplements through private shops and community sources so that women might start taking them when they know they are pregnant even before they go for their antenatal care visit
- Concerns of women who take iron pills; the reasons they give for not continuing to take supplements

In **Nepal**, where a quarter of the women are thin, both the quantity and diversity of food should be explored. In particular, the low consumption of vitamin A-rich vegetables is a concern given traditional dietary patterns. Overall, use of nutrition-related (and antenatal care) services is the lowest of the three countries and raises issues not only of access but also of women’s status. Some general research issues that might be explored include:

- The perceptions of women and other family members about diet during pregnancy, particularly related to eating extra quantities of food and specific nutrient-dense foods (like fruits and vegetables, eggs), and increased use of dairy products. This includes ideas and perceptions about the ease of child birth and changing diet.
- Pregnant women’s perceptions of their place in the household and the larger family context, and their willingness or ability to speak up about their health and about protections and support while they are pregnant. What is their level of self-efficacy?
- Awareness of family members about the needs of a pregnant and breastfeeding woman and their willingness to try to meet those needs.
- Attitudes toward “modern” health care and medicines, and obtaining care during pregnancy.
- Awareness of the symptoms of anemia and experience with iron supplements.

In **Honduras**, where many women are overweight, emphasis needs to be on eating nutrient dense foods, particularly vitamin A-rich fruits and vegetables. Although the diet diversity score in Honduras was better than in Uganda and Nepal, it is still low, with an average of 4.2 out of 9 food groups consumed. Coverage with iron appears to be high, although anemia still affects a quarter of women, and that could be due to poor sanitation and lack of deworming. Some general research topics in this context might be:

- Availability and willingness (perceptions about the foods) to procure vegetables and fruits and other nutrient-dense foods such as eggs and dairy products for pregnant and lactating women.
- Perceptions of a healthy diet, high-value foods both nutritionally and economically.
- Household sanitation practices and the level of parasites. How to improve hand washing, proper disposal of feces, and treatment of water to reduce parasites and infections.
IV. Choosing the Research Participants, Methods and Plan

Once the broad parameters for the research have been defined based on program priorities and a review of quantitative information and any relevant qualitative studies has been conducted, decisions can be made about the parameters of the formative research, such as who will participate and which methods will be used. The goal is to choose respondents who can provide the most relevant and useful information about maternal nutrition-related practices, who or what influences those practices, and who or what needs to be considered in facilitating change in practices. The choice of methods will be based on which ones allow the respondents to provide the most accurate and useful information about maternal nutrition-related practices. As the research plan is refined, it may be necessary to sequence the research in phases since different methods may be more appropriate to gather certain types of information. Also, considerations about budget and the capacity of the researchers must be accounted for as the plan is finalized. Formative research is a learning process that moves from the general to the specific, and from understanding current practices to learning feasible improved practices and the needed program inputs to facilitate and motivate those practices. Box 3 provides examples of how different methods are sequenced to allow the researcher to follow this progression.

Research Participants

The most common groups of participants for maternal nutrition-related formative research are:

- Women (usually divided by their trimester of pregnancy and immediate post-partum status).
- Husbands of pregnant women or women who have recently delivered.
- Mothers or mothers-in-law of the pregnant woman, particularly if living in same household.
- Other members of the community who might be involved in maternal care, such as the community health worker, local midwives, trained birth attendants or others who visit or provide services to pregnant women.
- Individuals outside the community, including at the health center, birthing center, store or market.

The more that participant groups can be defined by environmental and cultural factors that are important in pro-nutrition practices, the easier it will be to interpret and learn from the findings. For example, when identifying which of these criteria should be applied, ask whether women and their families with different religious beliefs follow different dietary or health related pregnancy practices than others in the area. If yes, the sample needs to include different religious groups and their responses should be analyzed separately. If not, no distinction is needed. Typical factors that aid in defining who will be included in the research and how many geographic areas need to be selected include:

- rural or urban location or proximity to a health facility
- highland, lowland, or coastal areas; or rainy and arid areas
- market accessible, market non-accessible
- ethnic groups
- religious groups

Finally, segmenting the categories of research participants (pregnant women, recently delivered women, mothers of those women, traditional birth attendants, etc.) according to additional criteria will further aid in interpreting the results of the research. A note regarding the implementation of a finely segmented sample: it requires considerable field work ahead of time recruiting the proper respondent.
who meets all of the segmentation requirements. For example, criteria that could be used to segment the sample of pregnant women might include the following.

- **Experience with pregnancy and birthing:** First time pregnant women and those who have had at least one pregnancy;
- **Woman’s age:** Adolescent women and others. In certain cultures, younger women are often completely dependent on their mother-in-law.
- **Nutritional status or health status of woman:** Women who are having a “trouble-free pregnancy” and women who have had multiple health problems, don’t feel well, or who are HIV+;
- **Education level of the woman:** no schooling or less than 3 years of schooling and those with more than 3 years;
- **Woman’s work status:** women working outside the home (particularly in heavy labor) and women working in or near home;
- **Families’ socio-economic status:** women who are marginalized by socio-economic class or caste and those who are not;
- **Birth practice:** Mothers who delivered their child in a facility, by trained attendant and those who delivered at home without a trained attendant;
- **Experience with a nutrition-promoting behavior:** For an investigation about adherence to iron supplementation, it would be beneficial to separate women into those who have taken the supplement, those who took it and stopped soon after beginning, and those who have never taken the supplement (a “doer / non-doer” segmentation).

**Box 2: Sample Research Questions and Respondents for Common Maternal Nutrition Themes**

<table>
<thead>
<tr>
<th>Who</th>
<th>What information</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Perceptions about pregnancy and diet -- both quantity and quality of food</strong></td>
<td></td>
</tr>
</tbody>
</table>
| First-time pregnant women and multiparous women | -Experience with pregnancy to-date and observations about diet and its effect  
-Expectations about and knowledge of foods, or nutrition-related practices to follow or avoid  
-Ideas about foods and their effects on the developing fetus  
-Who is offering advice and what it is  
-The extent to which she is adhering to advice; why or why not  
-What was served yesterday in the house for the main meals in the house? Did she eat all of the foods? Why or why not?  
-How does she think her diet will change over the course of the pregnancy? What amount will she eat per meal and per snack, and what foods will she add or avoid  
-Does she think there are circumstances that will affect her ability to get food over the course of her pregnancy (change in seasons, migration, husband outside the home for work, etc.)?  
-Reaction to specific suggestions about diet and a woman’s sense of her ability to alter her diet. |
| Post-partum women who are breastfeeding | -Breastfeeding experience and general recuperation from birth  
-How does she describe her diet compared to pre-pregnancy and during pregnancy? Does she eat approximately the same quantity, more than normal or more than during her last trimester before birth?  
-What are her ideas about adding more food at each meal, snacking on the family food while preparing it, or taking an extra meal during the day?  
-Ideas about milk production and transfer of nutrients in milk to children.  
--Reaction to specific suggestions about diet and a woman’s sense of her ability to alter her diet. |
| Mothers or mothers-in-law present in home | - Her perceptions of her daughter’s or daughter-in-law’s pregnancy  
  -- Knowledge of foods, or nutrition-related practices to follow or avoid  
  - Her participation in household food decisions and cooking  
  - What was served yesterday in the house for the main meals and what foods are generally available there?  
  - What happens at meal time—does she eat with her daughter or daughter-in-law?)  
  - What does she think of certain dietary recommendations to improve the nutrition/health of the pregnant woman?  
  - Does she feel that she could advocate for or affect diet changes—why and why not? |
| Community health workers and/or midwives | - Knowledge of foods, or nutrition-related practices to follow or to avoid during pregnancy and post-partum periods.  
  - Current advice giving and particulars about diet under specific conditions.  
  - Opinions about their role and ability to help women improve their diets.  
  - Opinions about which changes are possible under what circumstances such as the pre-harvest lean season and the post-harvest season of more abundance. |
| **Ability to adhere to iron-folate supplementation recommendations** | |
| Pregnant women and those up to four months post-partum with **no** experience taking iron-folate pills | - Experience with pregnancy to-date or with birth and general health and feelings of tiredness.  
  - Knowledge about and experience with symptoms of anemia (“tired blood”), its causes, effects and possible remedies; explore women’s categorization and name for the condition.  
  - Knowledge of iron tablets and classification as a diet supplement or medicine.  
  - Opinion about taking the tablets daily for many months, including potential positives and negatives or fears.  
  - Who would have to decide, i.e. is it her decision alone?  
  - Would she be willing to try for a month? |
| Pregnant women and those up to four months post-partum with **experience** taking iron-folate pills | - Question areas above.  
  - Why were tablets taken—for prevention or treatment of ?  
  - Source of tablets and advice given with tablets.  
  - Ideas about where to find the tablets.  
  - Experience with the tablets: did they feel better or worse, and what was done if the tablets made them feel worse?  
  - Period of time taking tablets. Why did they quit?  
  - Where were the tablets stored when taken during the day?  
  - How did they remember to take the tablets every day?  
  - Amount of tea, coffee or milk consumed.  
  - Opinions of others about the tablets.  
  - Opinion about trying the tablets again for a month.  
  [Based on multiple country studies, the three danger periods for quitting are: the first few days, when side effects are worse; a week to 10 days after starting, when anemic women feel much better and hence “cured”; and when their initial supply of tablets runs out and they need to make to effort to get re-supplied. Research questions should probe feelings and practices at these times.] |
| Health center staff | - Iron-folate distribution within pre-natal and post-partum care.  
  - Knowledge about the tablets and their main side effects.  
  - Advantages to taking the tablets; disadvantages of taking them or quitting before a full 90 days have been taken.  
  - Consistency of supply at the health center.  
  - How are the tablets administered: how many at a time, in what kind of container, and with what advice?  
  - General experience with women taking the iron tablets and how reported problems |
| Shop keepers / pharmacists | - Do they have any product that they sell for anemia or “tired blood?”  
- Why do they think it is for anemia? What do they know about anemia?  
- Do women ask for help or do they request products by name.  
- Do the shop keepers always had a consistent stock of the anemia control items  
- Do they dispense advice on how to take the product when they sell it? |

**Research Methods**

The kind of information needed (current practices, potential practices, community norms, product availability, etc.) should dictate a particular formative research method since, depending on the sensitivity of the topic, not all methods lend themselves to truthful or insightful answers. Also, the amount of available time, and both financial and human resources will make a difference to method selection. Even if time is limited, something can be done to better understand the potential program participant’s point of view. If more time is available a multi-phased research activity is possible. Some of the more common missteps to avoid in matching information needs with collection methods include:

- **Using a method based upon what the researcher is familiar with or a method that is currently popular, rather than choosing the best method(s) to answer the questions posed for the formative research.**

- **Conducting focus group discussions to gather information about daily practices.** Focus groups do not allow for “honest” answers about practices because participants are often reluctant to describe what they do in front of people they know, or they will mimic what others say. Focus groups are good methods for discussing notions of care during pregnancy or the post-partum period, beliefs about the properties of foods, typical practices or beliefs about pregnancy, delivery and breastfeeding in the community and ideas about what might or might not be acceptable to change and why.

**Current Behaviors Do Not Always Determine New Behaviors**

In many countries, the vast majority of women delay the initiation of breastfeeding. They say they delay offering the breast because it is a tradition and those attending the birth recommend against giving colostrum to the baby. They cite many disadvantages to giving colostrum and seem to firmly support delayed initiation. Based on this information, many programs have felt these beliefs are too firmly held to try and change. However, experience shows that when Trials of Improved Practices (TIPs) research is used to ask mothers to try to breastfeed immediately and to offer colostrum, and they are offered information about colostrum’s benefits and the benefits to early initiation, the mothers are willing to try and virtually all make the change. The determinants of the new behavior in this case are “new” information about the increased chance of survival that immediate breastfeeding offers and the respected advice of a health professional encouraging immediate breastfeeding. Exploring the mother’s perceptions about a practice while confronted with its implementation demonstrates that the real barriers and motivations to doing something “new” are often very different from the reasons for maintaining the current behavior. In this case, uncovering the cogent benefits and having them communicated by a trusted source often lead to widespread and rapid change. While this is not always the case, exploring determinants of new behaviors (in addition to understanding current behavior) through the process of asking a small sample to try “new” behaviors allows for more clarity about the requirements of change.
• Asking key informants such as nurses and village leaders to provide information on women’s practices. They cannot speak for credibly about what women do and why; their answers are speculative and biased by their own opinion and/or professional training.

• Assuming that defining current behaviors and determinants will lead to answers about the feasibility of potential changes or new practices. The determinants of current behaviors are not necessarily determinants of new behaviors. Rather, they are merely a starting point for defining what and how a practice might be modified. (See insert.)

• Thinking that baseline or quantitative surveys, which collect information on prevalence of certain practices or beliefs, provide insight into practices. Survey results seldom answer precisely why or how certain practices are followed. When they do try to probe the reasons behind a particular practice, the need to categorize or shorten the response often skews insight into the logic behind a certain practice and can lead to a misunderstanding about the true rationale. Once the qualitative research has been done to better understand peoples’ thinking and motivations, a quantitative survey can be used to estimate their prevalence and change over time.

The typical methods available and their uses as well as sources to consult for additional information are listed below.

<table>
<thead>
<tr>
<th>Research Methods and their Use*</th>
<th>Key resources for more information</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Method</strong></td>
<td><strong>Use</strong></td>
</tr>
<tr>
<td>Pre-coded KAP (knowledge,</td>
<td>Find the prevalence of</td>
</tr>
<tr>
<td>attitudes and practices) survey</td>
<td>particular practices in a given geographic area; differences and similarities among areas, ethnicities, and income levels; or certain relationships among practices</td>
</tr>
<tr>
<td>Focus Group Discussions</td>
<td>Obtain information on norms, attitudes and beliefs, but not on individual practices.</td>
</tr>
<tr>
<td></td>
<td></td>
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<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>In-depth individual interviews with women</td>
<td>Understand what is done on a daily (or frequent) basis and less frequently; understand the reasons for practices, and influences on practices and the context in which decisions about nutrition-related</td>
</tr>
</tbody>
</table>
practices occur. This method is often combined with dietary assessments and participant observations.


<table>
<thead>
<tr>
<th>Method</th>
<th>Description</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Key Informant Interviews</strong></td>
<td>Understand the role of “gate-keepers” or decision makers on maternal nutrition practices within the family or broader community.</td>
<td>See references above</td>
</tr>
<tr>
<td><strong>Market Survey</strong></td>
<td>Obtain information on the availability and costs of different foods or potential food options in the diet.</td>
<td>PAHO, 2003, ProPAN: Process for the Promotion of Child Feeding, available at: <a href="http://www.paho.org/English/AD/FCH/NU/ProPAN-Index.htm">www.paho.org/English/AD/FCH/NU/ProPAN-Index.htm</a></td>
</tr>
</tbody>
</table>
Recipe Trials

Obtain information about foods available at home and how women might combine them in a special food for pregnancy and lactation.


*While many of the sources of information, protocols, and manuals focus on infant and young child feeding, they can be adapted for exploring maternal diet and other nutritional topics.

Research Plan

There are many ways to structure a formative research activity to answer the array of research questions that programs usually want answered. There is no one correct way—it is context specific, depending on the time and budget available. But, from a technical point of view, it is most important to select the right people and the right methods to obtain the most valid or true information regarding attitudes and practices, and their influences and determinants. Box 3 provides two examples of research plans that involve a number of methods and participants, but both of which could yield useful information for program design.
Box 3. Examples of Research Plans

Improving Maternal Diet Quality (1)
Comprehensive Inquiry

- Literature Review

- Market Survey—exploration of nutrient dense foods locally available

- In-depth interviews with women: 24-hour dietary food recall and activity log
  --women with difficult and non-difficult pregnancy
  --different ecological zones

- Key Informant Interviews
  --mother or mother-in-law
  --husband
  --midwives/nurses

- TIPS
  --negotiate dietary improvements with women, primarily focused on improving diet quality

- Focus Group Discussions to assess application of recommendations broadly

Improving Maternal Diet Quality (2)
Limited inquiry focusing mainly on foods not practices or social context

- Literature Review

- Recipe Trials with Women

- TIPS
  --brief review of current practices
  --trials of recommended “recipes”
  --primarily focused on improving diet quality
V. Defining Specific Research Guides and Analysis Tools

Developing a research plan that will answer the pressing program design questions and that fits within budget, time, and personnel constraints are only part of research preparation. Developing a guide for each research activity and often for each participant group has to be done. Multiple instruments are usually needed in order to guide the investigators and to ensure certain basic information is collected or probed as a step toward more individualized or detailed results.

Information Collection Techniques

Shaping the tools that will be employed for information collection requires skill and creativity. The way a question is posed or the order in which the questions are asked can often lead to bias in the answers. Likewise, to minimize interviewer bias or to help elicit more unbiased responses from respondents who might be inclined through direct questioning to provide what they feel is the “right answer” or “what the investigator wants to hear,” it is best in some cases not to ask questions at all, but rather to observe or offer a picture or a sentence to be completed as a way to begin a discussion. Regardless of the method, the techniques employed in the research (see the sidebar for examples are often the difference between research that will offer new insights and research that only confirms current thinking. For example, options for how to improve diet quality can be obtained by having the women sort pictures of common foods into piles based on availability in her area, then based on frequency of family use. This exercise can rapidly indicate the available foods that might be used with more frequency in her diet and can be a more promising avenue to discuss improvements than suggestions being posed by the investigator. Likewise, when asking women to describe how they are feeling while pregnant, a more accurate accounting can be obtained when they look at pictures of women and talk about how they imagine each woman is feeling. Then the investigator can ask which woman best represents her.

Examples of Information Collection Techniques

*Projective techniques*—these are stories or photographs that are shared with participants in order for them to “project” their feelings about a particular topic or person in describing the photo or finishing the story. For example, a variety of photographs of people can be displayed and research participants can describe from whom they would seek advice about child care or health and why, or they can describe who is a good parent and why. These insights are helpful in understanding motivations for particular behaviors.

*Free listing* or *“pile sorts”*: these techniques are used to better understand processes, associations or priorities that people give to certain things like foods. For example, people might be asked to sort foods into those that have hot and cold properties; body building or body purification properties; good first foods or those inappropriate before a child has teeth etc.

*Observations*—these can be structured (usually a checklist) or unstructured and are typically used to get information on the physical environment or on actual feeding practices or interactions.

*24 Hour Dietary Recall*—this detailed listing of every food consumed by amount and time of day allows for a more accurate understanding of intake than simple questioning. However the interviewers require special training, standard measuring instruments must be provided and professional assistance is needed to analyze and interpret results.
Different techniques (and methods) can be combined to verify respondents’ answers. For example, in-depth interview questions about handwashing might be followed by an observation of whether or not the house has a hand washing station. The table below illustrates techniques that work well with various inquiry methods.

<table>
<thead>
<tr>
<th>Method</th>
<th>Common techniques</th>
</tr>
</thead>
<tbody>
<tr>
<td>Focus group discussion with mothers-in-law (MIL) of pregnant woman</td>
<td>--Open discussion with probes</td>
</tr>
<tr>
<td></td>
<td>--Photo sort with various photos of MIL with their daughters-in-law to elicit a</td>
</tr>
<tr>
<td></td>
<td>discussion of relationships and responsibilities of MIL for their pregnant</td>
</tr>
<tr>
<td></td>
<td>daughters-in-law; images of foods to discuss what foods are good for pregnant</td>
</tr>
<tr>
<td></td>
<td>women and what their role is in ensuring a healthy diet for their pregnant</td>
</tr>
<tr>
<td></td>
<td>daughter-in-law.</td>
</tr>
<tr>
<td></td>
<td>--Stories of particular family scenarios that require that they offer an ending</td>
</tr>
<tr>
<td>In-depth Interview with a pregnant woman</td>
<td>--Open-ended questions about practices</td>
</tr>
<tr>
<td></td>
<td>--Dietary Assessment: 24-hour food recall to understand what she has eaten in the</td>
</tr>
<tr>
<td></td>
<td>past 24 hours; one week food recall that looks at the intake of particular foods</td>
</tr>
<tr>
<td></td>
<td>during a week</td>
</tr>
<tr>
<td></td>
<td>--Observation of a meal to assess quantity and quality of the diet; also stores</td>
</tr>
<tr>
<td></td>
<td>of food in the home and what the rest of the family is eating to assess potential</td>
</tr>
<tr>
<td></td>
<td>opportunities for the woman to improve her intake</td>
</tr>
<tr>
<td></td>
<td>--Photo sort with pictures of women who are not known but who have characteristics</td>
</tr>
<tr>
<td></td>
<td>similar to women in the area; the woman can be asked to describe who is having a</td>
</tr>
<tr>
<td></td>
<td>healthy pregnancy and who is not and why; then she can be asked to talk about</td>
</tr>
<tr>
<td></td>
<td>which woman best reflects her own situation and why.</td>
</tr>
<tr>
<td>Recipe Trial with pregnant and lactating women to find particular</td>
<td>--Free-listing to see the various properties of foods,</td>
</tr>
<tr>
<td>high nutrient foods for them</td>
<td>--participant-observation, to see how foods are combined and prepared and</td>
</tr>
<tr>
<td></td>
<td>combinations the women make from nutrient dense foods</td>
</tr>
<tr>
<td></td>
<td>--trial, to see their reaction and preferences and whether they think they might</td>
</tr>
<tr>
<td></td>
<td>make this at home</td>
</tr>
</tbody>
</table>

Annex D contains samples of a few formative research tools and demonstrations of how a variety of investigation techniques have been incorporated.
Analyzing and Communicating Results

Thinking about and planning for analysis and reporting should be discussed at the planning stage of the formative research. Setting up summary sheets and matrices to catalogue findings during tool development will ensure that each piece of the instrument is generating information that can be used to answer the research questions. Developing the analysis tools saves time and allows for analysis to begin in the field. Also, ensuring that the field supervisors know the type of analysis that is expected will allow them to ensure that the needed information is being collected.

For use in the field: At a minimum, the matrix in Annex D can be modified, expanding sections or making multiple matrices, one for each population segment to begin to catalogue responses. Likewise tally sheets can be set up to allow a field supervisor to look for trends in responses so they can assess if the research questions should be expanded to capture important variances. For example, to determine if there are interesting patterns that need further exploration, tallies can be kept on various practices of women who live in nuclear or in extended families, or who might be within or beyond a 30-minute walk to the nearest health practitioner.

Since most formative research is in-depth and qualitative (even if it has quantitative aspects such as dietary recalls), it is critical to plan for case studies or typology analysis to illustrate important points about what was uncovered during the field work. For example, while talking about what most pregnant and lactating women are eating, it is often instructive to highlight a particularly poor diet and one of the best diets to show the extremes and that some deficiencies even exist in the best diets. Similarly, if women’s time is a severely limiting factor, offering examples of women’s time allocation would allow more insight than simply summarizing how many hours women work each day. Because qualitative research is exploratory and not static, daily briefings of the field workers should always include their observations about interesting deviations, new relationships or patterns they have observed during the course of their interviews or visits. These observations can be looked at in light of the tallies that are being done and instruments modified to incorporate new lines of inquiry.

Formative research reports should go beyond a descriptive report of the information collected by method or participant group. The insights come from the researcher’s synthesis looking across the findings from the various methods and participant groups. The table below contains tips for synthesizing findings.
<table>
<thead>
<tr>
<th>Synthesis</th>
<th>What</th>
<th>Tips and Formats</th>
</tr>
</thead>
<tbody>
<tr>
<td>Summarize and compare findings from all methods by participant group</td>
<td>Highlight majority practices and opinions</td>
<td>By comparing responses across methods and participant groups, it is possible to “triangulate” to find the most reliable answer to what is being practiced, by whom and why</td>
</tr>
<tr>
<td>Summarize and compare findings by practice across participant groups</td>
<td>Note the range of opinions and practices by characteristic of person</td>
<td>Look for contradictions between what was said and observed, and offer insights.</td>
</tr>
<tr>
<td></td>
<td>Identify reasons why</td>
<td>Example</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Finding:</strong> Women report eating animal source foods but none are observed in the home on interview day.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Interpretation:</strong> Women know these foods are important and desirable, but they are not a daily staple.</td>
</tr>
<tr>
<td>Clarify and summarize processes, unspoken decision algorithms, or classifications</td>
<td>Highlight patterns and trends</td>
<td>Use diagrams to show the usual sequence of practices and others to show significant deviations.</td>
</tr>
<tr>
<td></td>
<td>Provide insights into decision making</td>
<td>Develop taxonomies to describe poor health related to diet: symptoms, causes and remedies.</td>
</tr>
<tr>
<td></td>
<td>Give specific examples</td>
<td>Develop decision charts or algorithms for different participant groups and compare</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Example</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>A taxonomy</strong> of mothers’ beliefs about “morning sickness” and how to resolve them.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>A decision tree</strong> about when and from whom to seek advice for pregnancy-related questions.</td>
</tr>
<tr>
<td>Interpret the findings to develop recommendations</td>
<td>Formulate action recommendations for each major finding or group of findings related to a specific topic or question. The recommendations must flow from the findings and not restate the findings.</td>
<td>Use “therefore” statements after each small set of findings as a transition to the recommendations.</td>
</tr>
<tr>
<td></td>
<td>Mention when a particular, expected recommendation is not offered because it is not supported by the research.</td>
<td>Example</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Finding:</strong> A significant portion of women given iron-folate pills will take the 15 or 30 day supply, but they don’t seek a refill.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Therefore:</strong> The need for resupply of iron-folate pills needs reinforcement and the source must be convenient.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Recommendation:</strong> Initial counseling about the iron-folate tablets should include where to get a resupply and that supply should be available in the community including through a home visit made by the CHW.</td>
</tr>
</tbody>
</table>
Appendix A: Sources of Information on Formative Research Methods


Bérengère de Negri and Elizabeth Thomas, Making Sense of Focus Group Findings, A Systematic Participatory Analysis Approach, Academy for Educational Development, 2003


C-Change Project, Capacity building materials (focused on family planning, malaria, HIV) for social and behavior change available at: www.c-changeprogram.org/our-approach/capacity-strengthening/SBCC-modules

Center for Disease Control (CDC), Series of materials on social marketing techniques available at: www.cdc.gov/healthmarketing/cdcynergy/editions/htm


Core Group, Save, AED, FANTA, “Nutrition Program Design Assistant: A Tool for Program Planners, Reference Guide and Workbook,” April, 2010


Kanani, S., Maniar, S. and Yamini Venkatachalam, “Interactive Workshop on Qualitative Research: From Data Collection to Data Presentation,” 17-22 October, 2005 Workshop Report, Women’s Health Training
Methodological Review: A Handbook for Excellence in Focus Group Research, AED, Healthcom, (no date)

Qualitative Assessment of Maternal Nutrition Practices in Zambia, IYCN, March 2010
Training in Qualitative Research Methods: Building the Capacity of PVO, NGO and Ministry of Health Partners, The Core Group, Social and Behavior Change Working Group, (no date)


Communication for Behavior Change, The Manoff Group, for The World Bank Took Kit, 1999


Piwoz, E. “What are the options? Using Formative Research to adapt global recommendations on HIV and infant feeding to the local context.” 2004


Yoder, Stanley. “Conducting Qualitative Research on Demographic Issues, presented at the IUSSP in Salvador, Bahia, Brazil in August 2001, Macro International Inc.
Appendix B: Maternal Nutrition Background Documents

Nutrition – General


Maternal Anemia


**Vitamin A**


**Pregnancy & Neonatal Nutrition**


USAID, Bureau for Global Health, Office of Health, Infectious Disease and Nutrition. “Minimum Activities for Mothers and Newborns (MAMAN).”

USAID/AED. “CAP South Africa: Integration of Maternal Nutrition and Infant and Young Child Feeding in the Context of PMTCT.”


**Behavioral Interventions**


**Nutrient Supplementation**

Huffman S. “Can Marketing of Multiple Vitamin/Mineral Supplements Reach the Poor?” The Vitaldia Project, Bolivia, May 2002.


**Agriculture & Nutrition**


**Nutrition Curricula**


**Nutrition for HIV/AIDS**


**Overnutrition**

Appendix C: Assessing Maternal Nutrition

The major indicators of maternal nutrition status include measures of Body Mass Index (BMI), female adult height, weight gain during pregnancy, iron and vitamin A status and, as a proxy measure, low birth weight infants.

**Body Mass Index** (BMI) is a simple index of weight-for-height that is commonly used to classify underweight, overweight and obesity in adults. It is defined as the weight in kilograms divided by the square of the height in meters (kg/m\(^2\)). For example, an adult who weighs 70kg and whose height is 1.75m will have a BMI of 22.9.

**Table 2: International classification of adult underweight according to BMI (kg/m\(^2\))**

<table>
<thead>
<tr>
<th>Classification</th>
<th>Principal Cut-Off Points BMI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Underweight</td>
<td>&lt;18.50</td>
</tr>
<tr>
<td>Severe thinness</td>
<td>&lt;16.00</td>
</tr>
<tr>
<td>Moderate thinness</td>
<td>16.00 – 16.99</td>
</tr>
<tr>
<td>Mild thinness</td>
<td>17.00 – 18.49</td>
</tr>
<tr>
<td>Normal Range</td>
<td>18.50 – 24.99</td>
</tr>
</tbody>
</table>

Source: World Health Organization

**Female adult height** that is below 145 centimeters is associated with higher risks of miscarriage, stillbirth and delivery of a low birth weight infant. Because of these risk factors short stature women require monitoring during pregnancy.

**Pregnancy weight gain** is not used as a population-based indicator of maternal nutrition, nor is it frequently applied in low-resource communities where pregnant women do not attend pre-natal care regularly. Also, recommendations for weight gain during pregnancy, which vary according to pre-pregnancy weight and other factors, are under review.

**Iron status** is best understood as a continuum from iron deficiency with anemia, to iron deficiency with no anemia, to normal iron status with varying amounts of stored iron, to iron overload. The most common method of screening individuals or populations for iron deficiency involves determining the prevalence of anemia by measuring blood hemoglobin levels. The cut-off values for hemoglobin levels corresponding to anemia among women at different ages and pregnancy status are shown in Table 3.

**Table 3: Hemoglobin levels below which anemia is present in a population**

<table>
<thead>
<tr>
<th>Age and/or status</th>
<th>Hemoglobin g/l</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-pregnant women (above 15 years)</td>
<td>120</td>
</tr>
<tr>
<td>Pregnant women</td>
<td>110</td>
</tr>
<tr>
<td>Girls 12-14</td>
<td>120</td>
</tr>
</tbody>
</table>

Source: World Health Organization

**Vitamin A** deficiency is clinically assessed via eye signs and biochemically determined concentrations of retinol in plasma or serum. Deficiency is considered a public health problem when the prevalence of night blindness is 5% or higher in pregnant women or 5% or higher in children 24–59 months OR serum retinol levels among children 6-71 months are below 0.70μmol/l as follows: 2-9% (mild); 10-19% (moderate) and >20% (severe) problem.
## Appendix D: Optimal Maternal Nutrition Practices Matrix

<table>
<thead>
<tr>
<th>Ideal Practice (Recommendations)</th>
<th>Current Practice</th>
<th>Motivations and Barriers to improved Practice</th>
<th>Gaps—Questions needing answers</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Dietary quantity</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Eat at least one extra serving of staple food (285kcal) a day while pregnant and the equivalent of an extra meal (500 extra kcal) when breastfeeding</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Consume enough extra calories to gain at least one kilogram per month in the second and third trimesters of pregnancy</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Dietary quality</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Increase daily consumption of fruits and vegetables, animal products and fortified foods</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Use iodized salt</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Decrease consumption of iron-inhibiting foods/fluids such as tea with meals</td>
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<td></td>
<td></td>
</tr>
<tr>
<td><strong>Micronutrient intake</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Take daily supplements of 60 mg iron and 400 micrograms folic acid or multiple vitamin/mineral supplements during pregnancy and first 3 months after delivery</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>If pregnant and anemic, take 120 mg of iron and at least 400 micrograms folic acid per day for three months and then continue taking a preventive dose of 60 mg iron for next 3 months of pregnancy and the first 3 months after delivery</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Where vitamin A deficiency is severe public health problem, supplement with vitamin A 10 000 IU vitamin A (daily dose) OR Up to 25 000 IU vitamin A (weekly dose) during pregnancy</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ideal Practice (Recommendations)</td>
<td>Current Practice</td>
<td>Motivations and Barriers to improved Practice</td>
<td>Gaps—Questions needing answers</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------------------------------</td>
<td>------------------</td>
<td>-----------------------------------------------</td>
<td>-------------------------------</td>
</tr>
<tr>
<td>Disease prevention and treatment (high priority for malaria and worms)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Seek immediate treatment for diet-related symptoms: nausea, vomiting, diarrhea, fever, loss of appetite, sores in mouth, constipation, heartburn and bloating</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>In endemic malaria areas, the second and third trimesters take anti-malarial drugs to treat malaria (regardless of symptoms (depending on national policies)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Use insecticide-treated materials (bed nets, curtains)</td>
<td></td>
<td></td>
<td></td>
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<td>Take a single dose of albendazole or mebendazole in the second trimester of pregnancy as treatment for hookworms. If a highly endemic area take an additional dose on the third trimester</td>
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<td>Wash hands with soap before eating and drink treated water</td>
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<td><strong>Supportive lifestyle and care</strong></td>
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<td>Initiate breastfeeding in the first hour after birth and exclusively breastfeed for the first six months</td>
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<td>Practice family planning for at least 3 years following the birth of a child</td>
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<td>Use contraceptives that are supportive of breastfeeding</td>
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<td>Avoid heavy labor or lifting during pregnancy and rest more during pregnancy and lactation</td>
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Appendix E: Sample Question Guides / Research Tools for Formative Research on Maternal Nutrition

1. Focus Group Discussion Guide: Pregnant Women and Diet
2. In-depth Interview: Pregnant Woman about Her Diet
1. **Focus Group Discussion Guide: Pregnant Women and Diet**

**Objective:**
1) To understand what pregnant women’s perceptions about food and their health and the health of their baby
2) To know their opinion about the particular recommendations for how to improve their diets.

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<th>Main Idea</th>
<th>Key Question</th>
<th>Probes</th>
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| The relation between diet and health during pregnancy including the health of the baby | I would like to show you some pictures of several pregnant women and I would like you to discuss who you think is healthy and having a good pregnancy and who is sick or might be having problems with her pregnancy. | Why are the women either healthy or sickly/with problems
Is their diet an influence and if so what is it about their diet
Is their "good" or problem pregnancy affecting their unborn baby, how? |
| | Now let’s talk about women in this community. Which ones of these women best reflect the experience of women in this community? | Why and why not? |
| | What is the experience of women in this community with delivery/birthing? | Is the experience connected to her diet during pregnancy? |
| | Are most babies born healthy or are there many unhealthy newborns? | Is the experience connected to her diet during pregnancy? |
| Where women get information about diet during pregnancy and the adherence with the advice | Can anyone here tell me about any advice they have received about what to eat during their pregnancy and who offered or where did they learn about the recommendation | Probe different sources of information: people in the family, relatives outside of the house, health practitioners, radio, TV etc.
What does the group think about the different pieces of advice
Would they follow the advice—why and why not |
| Reaction to recommendations from TIPs | Now I would like to share with you some recommendations that women in other communities have made about ways they have found to improve their diets during their pregnancy. I would like to hear what you think about them. | Opinion
Feasibility
Advantages or disadvantages
Would someone in the household have to agree to this practice for the woman to try it
Would the women be willing to try |
The first suggestion is to eat more food, by eating an extra tortilla mid-day and in the evening.

The second suggestion is to eat more food by serving an additional large spoonful of beans with the meal twice a day.

The third suggestion is to not add food at meal time, but instead eat a snack like a small serving of beans and rice or a tortilla with beans or cheese.

The fourth suggestion is to eat egg or cheese every day or at least several times a week.

The fifth suggestion is to eat a serving of fruit or vegetable every day. This can be a mango, or a large piece of papaya, or carrots or tomatoes added to the food being prepared mid-day.

to follow the recommendation tomorrow or in this week?
2. **In-depth Interview Guide for the Pregnant Woman—section about her Diet**

A. Demographic info on the family
B. Section that asks about the pregnant woman’s health during her pregnancy
C. Section that inquires about the pregnant woman’s aspirations for her unborn child and what she believes she can do to ensure the baby’s health.
D. Diet During Pregnancy
   1. Can you tell me about how you have been eating during your pregnancy?
      --Have you made any modifications from what you did before you became pregnant? What specifically and why?
      --In terms of the quantity of food that you are eating, how does it compare to the amount you ate pre-pregnancy? Quantities per meal and number of times
      --In terms of what foods you are eating, have you made any changes? What foods have changed and why? Probe about what foods might be eliminated and what specifically might be added.

2. Are you hungrier now that you are pregnant or do you seem to have less appetite? Why do you think this is the case?

3. Do you think a pregnant woman should be careful about what she eats? Why?

4. In this community what do women usually do in terms of their diets? Are there foods that women try to get at different times of their pregnancy or that they try to avoid? Are there foods that are particularly good or bad for the growing fetus?

5. Now let’s talk more about how you are eating the past few days:
   --How many time a day do you eat? How many meals? And, how many smaller meals? Before breakfast do you eat something? Do you eat anything between breakfast and the mid-day meal? And, between mid-day and dinner? What about after dinner, before you go to bed, do you eat anything?

6. Do you have favorite foods or anything else that you are eating a lot of or that are particularly appealing to you now that you are pregnant? Are you able to get these foods as much as you would like?

7. Some women find that they like things that are not normally considered foods, is there anything that you would not normally eat that you are eating now?

8. Now I would like to ask you about a few specific foods: beans, rice and corn.
   --Are you eating more, the same or fewer beans now than before you were pregnant? Why?
   -- Are you eating more, the same or less rice now than before you were pregnant? Why?
   -- Are you eating more, the same or less corn now than before you were pregnant? Why?
   --Are these foods good for pregnant women? Which ones and why? If a pregnant woman doesn’t get enough of these foods is there a problem?
   --Are any of these foods harmful to a pregnant woman? Which ones and why?
9. Now I would like to ask you about your consumption of eggs, milk, cheese and meats of all kinds:
   -- Are you eating more, the same or fewer eggs now than before you were pregnant? Why?
   -- Are you eating more, the same or less milk and cheese now than before you were pregnant? Why?
   -- Are you eating more, the same or less meat of any kind now than before you were pregnant? Why?
   -- Are these foods good for pregnant women? Which ones and why? If a pregnant woman doesn’t get enough of these foods is there a problem?
   -- Are any of these foods harmful to a pregnant woman? Which ones and why?

10. Now I would like to ask you about your consumption of fruit and vegetables, particularly those that are dark green:
    -- Are you eating more, the same or less fruit now than before you were pregnant? Why? Which fruit do you prefer?
    -- Are you eating more, the same or fewer vegetables now than before you were pregnant? Why? Which vegetables do you prefer?
    -- Are these foods good for pregnant women? Which ones and why? If a pregnant woman doesn’t get enough of these foods is there a problem?
    -- Are any of these foods harmful to a pregnant woman? Which ones and why?

11. Have you changed any of the ways that you prepare food since you have been pregnant? For example what about fried foods? What about foods with salt or sugar?

12. Can you tell me are there any special foods or preparations or products that you are taking as diet supplements while you are pregnant?
    -- vitamin pills? (ask about iron-folate pills in detail separately)
    -- tonics?
    -- herbs?
    -- foods that are fortified like a cereal product

13. Now I would like to talk about what you ate in the previous 24 hours. Insert a 24- hour dietary food recall.

14. Now I would like for you to think about the last week and tell me if there are foods that you did not eat yesterday, but that you have eaten several times in the past week. What were they?
Initial Interview

Introduction: Pregnancy and anemia
1. Is this your first pregnancy?
2. How are you feeling? How has your health been during your pregnancy?
3. In general how have you been taking care of yourself during your pregnancy?
4. Have you been to any medical controls?
   Where? Why? Is this where you have gone in other pregnancies?
5. How have you been eating during your pregnancy?
   The same as before? More food or less food? Different foods? Why?
   Why? With what frequency?
7. When a woman is pregnant do you think that there is any change that happens to her blood? Is it the same as woman who is not pregnant?
8. During this pregnancy or a previous pregnancy have you had any problems such as dizziness, weakness, headaches, heart palpitations or extreme tiredness?
   Why do you think they have occurred? Are they serious or normal for a pregnant woman?
9. Have you ever heard of anemia? Do you know what happens if someone says that a pregnant woman has anemia?
   Ask for more explanation is she has heard of anemia.
10. Why does a pregnant woman get anemia?
11. Can she avoid getting anemia/becoming anemic? How?
12. Can anemia be cured? How?

Iron-folate: knowledge and use
1. Do you know these tablets (show her the local iron-folate tablets)?
2. Do you know that some women take these tablets during their pregnancy? Do you know why?
   Do you know anyone who has taken them?
3. At any time have you taken them, either during this or an earlier pregnancy?
   If she has taken iron tablets:
4. For how long have you been/ did you take the tablets? How was your experience? If she is not currently taking them, why did she stop taking them?

Introduction of the trial with the iron-folate pills
1. Explain to the woman that the municipal health professionals at the hospital and health facilities would like to know about women’s opinion of the iron-folate tablets they distribute. Would she be willing to try the tablets and offer her opinion of the experience? Her experience will help the health professionals serve pregnant women better and improve the quality of their prenatal care services.
2. Inform the woman that medical professionals believe that anemia is a condition that is especially dangerous for pregnant women and their unborn child and that taking the tablets is important in order to prevent the pregnant woman form developing anemia. The iron-folate tablet is a “vitamin” that is special for the pregnant woman to prevent anemia by strengthening the blood, giving the woman more strength and helping to improve her appetite and preventing
her from becoming weak and pale. Preventing anemia will help in making the birth less
dangerous and will give her more strength for it.

3. Explain that you are going to give her the tablets to take, but that she must take them as
instructed.
4. Give her the tablets (21 tablets or enough for 3 weeks)
5. Tell her that she needs to take one tablet each day. She should decide when each day she
would like to take the tablet.
6. Talk with her about taking the tablets on an empty stomach—not with a meal.
7. However, if she has any bad feelings such as indigestion, nausea, stomach pains or constipation
she can take the tablets with a piece of banana or a citrus fruit like and orange or with juice.
8. She should note that if her stools change color, this is normal and is a sign that the tablets are
doing their job.
9. Ask her to avoid coffee and tea around the time that she takes her tablet.
10. Ask her where she will store her tablets. It should be in a safe place that children cannot access
and that will keep the tablets dry.
11. Tell her you will come back in about 3 weeks to talk to her about her experience with the
tablets. Ask her about a good time to find her at home.
12. Ask her if she has any questions about the tablets or what she is going to do. Ask her to tell you
what the agreement is about how she is going to take the tablets.
13. Note any comment or reaction that the woman has when she is being introduced to the tablets
and any accommodations that she might ask be made for her to participate.

Follow-up Visit

1. How have you been since we last met? How is your pregnancy progressing and how do you
feel?
2. Do you have and iron-folate pills remaining? If she does, ask to see them (note the number) and
note how they were stored and their condition.
3. If she does have tablets remaining ask her why they were not taken.
4. If there are no tablets remaining ask her to tell you where and how she stored her supply and if
there were any problems with the tablets.
5. Ask her to describe how she took the tablets: how many/ day, at what time, and whether she
needed to take the tablet with food?
6. Did she have any difficulty remembering to take the pill? How did she remember or what does
she think she could do to make remembering easier?
7. Did anyone else in the house know that she was taking the tablets? Did they comment and if so,
what did they say?
8. After taking the tablets, what is the woman’s reaction: probe about both good and bad aspects
and how she handled the bad aspects.
9. Did she notice any health effects? Probe about good effects such as more appetite, more
strength, less tired and the bad effects like constipation, nausea.
10. Ask her again about the tablets: Was there anything about the tablets that she didn’t like or that
made it difficult for her to take the tablets? (size, color, taste, smell, difficulty swallowing, the
frequency of taking them…)
11. Is she willing to continue taking the tablets until her child is born and even for a few months
after her child is born?
12. If she is willing, where does she think she could get a resupply of tablets? Where would she
prefer? (health center, community health worker, midwife, local healer, pharmacy, shop, other)
13. If she had to buy the tablets, would she be willing?
14. Would she be willing to tell her friends about the tablets? What would she say?
15. Would you like to have more tablets left for her at this moment? Why or why not?
16. Does she have anything else she would like to say about her experience with the iron-folate tablets?